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PTO/SB/05 (03/01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket Number PANA-01046USD	
		Inventor Richard M. Ehrlich	
		Title	Methods for Limiting Channel Control Values to Thereby Improve Servo-Demodulation Robustness
		Express Mail Label No. EV 326 481 515 US	
APPLICATION ELEMENTS See MPEP Chapter 600 concerning Utility Patent Application Contents		ADDRESS TO: Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/17) (in duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status (See 37 CFR 1.27)</p> <p>3. <input checked="" type="checkbox"/> Specification Total Pages 68 (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> Descriptive Title of the invention<input checked="" type="checkbox"/> Cross Reference to Related Applications<input checked="" type="checkbox"/> Statement Regarding Fed Sponsored R & D<input checked="" type="checkbox"/> Reference to Sequence Listing, a table or computer program listing Appendix<input checked="" type="checkbox"/> Background of the Invention<input checked="" type="checkbox"/> Brief Summary of the Invention<input checked="" type="checkbox"/> Brief Description of the Drawing(s) (if filed)<input checked="" type="checkbox"/> Detailed Description<input checked="" type="checkbox"/> Claim(s) <u>30</u><input checked="" type="checkbox"/> Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets 17</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 1</p> <p>a. <input checked="" type="checkbox"/> Newly Executed</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 <u>3</u> Total Pages</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above</p>	
ACCOMPANYING APPLICATION PARTS			
<p>9. <input type="checkbox"/> Assignment Papers (Cover Sheet & Document(s)) _ Total Pages</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement <u>0</u> IDS ((IDS)/PTO-1449) <u>3</u> Total Pages Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment _ Total Pages</p> <p>14. <input checked="" type="checkbox"/> Return Postcard, specifically itemized (MPEP 503)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other</p>			
<p>18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>1</u></p> <p>Prior application information: Examiner: _ Group/Art Unit: _</p> <p>For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number 23910 or <input type="checkbox"/> Correspondence Address below			
NAME		Fliesler Dubb Meyer & Lovejoy LLP	
ADDRESS		Four Embarcadero Center, Fourth Floor	
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Name (Print/Type)	Jeffrey R. Kurin	Registration No. (Attorney/Agent)	41,132
Signature			Date September 18, 2003

22141 U.S. PTO

10/665226



09/18/03

16152 U.S. PTO
09/18/03

2003
FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1,182.00)**

Complete if Known

Application Number	New Application
Filing Date	September 18, 2003
Inventor	Richard M. Ehrlich
Group Art Unit	New Application
Examiner Name	New Application
Attorney Docket Number	PANA-01046USD

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.¹
- ☐ Applicant claims small entity status. See 37 CFR 1.27.

Deposit Account Number: 06-1325

Deposit Account Name: Fliesler Dubb Meyer & Lovejoy

- 2. ☒ Payment Enclosed:**
[X] Check [] Other

FEE CALCULATION (fees effective 10/1/01)

1. FILING FEE			
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1001/\$750	2001/\$375	Utility Filing	750
1002/\$330	2002/\$165	Design Filing	
1004/\$750	2004/\$375	Reissue	
1005/\$160	2005/\$80	Provisional Filing	
SUBTOTAL (1)			(\$ 750)

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
1202/\$18	2202/\$9	Claims in excess of 20
1201/\$84	2201/\$42	Independent claims in excess of 3
1203/\$280	2203/\$140	Multiple dependent claim
1204/\$84	2204/\$42	Reissue independent claims over original patent
1205/\$18	2205/\$9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1051/\$130	2051/\$65	Surcharge - late filing fee or oath	
1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	
1812/\$2,520	1812/\$2,520	For filing a request for reexamination	
1251/\$110	2251/\$55	Extension for response within first month [†]	
1252/\$410	2252/\$205	Extension for response within second month [†]	
1253/\$930	2253/\$465	Extension for response within third month [†]	
1254/\$1,450	2254/\$725	Extension for response within fourth month [†]	
1255/\$1,970	2255/\$985	Extension for response within fifth month [†]	
1401/\$320	2401/\$160	Notice of Appeal	
1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	
1501/\$1,300	2501/\$650	Utility Issue Fee (Or Reissue)	
1502/\$470	2502/\$235	Design Issue Fee	
1460/\$130	1460/\$130	Petitions to the Commissioner	
1814/\$110	2814/\$55	Statutory Disclaimer	
1806/\$180	1806/\$180	Submission of Information Disclosure Statement	
8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	
1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801/\$750	2801/\$375	Request for Continued Examination (RCE)	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$ 0)

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		
TOTAL	30	minus*	20 or	=	10	x	18	= 180
INDEP	6	minus*	3 or	=	3	x	84	= 252
[] First presentation of multiple dependent claim								= 0

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 432)

SUBMITTED BY

Typed or Printed Name **Jeffrey R. Kurin**

Signature

Jeffrey R. Kurin

Complete (if applicable)

Reg. Number **41,132**

Date

September 18, 2003